

# Addendum to Beurling-Type Density Criteria for System Identification

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## PROOFS OMITTED IN THE PAPER

*Proof of Lemma 8.* Item (i) is a direct consequence of the definition of the upper Beurling class density. To show item (ii), let  $\epsilon > 0$  be arbitrary, and set  $\theta = \mathcal{D}^+(\mathcal{L}) + \epsilon$ . Then, by the definition of  $\mathcal{D}^+(\mathcal{L})$ , we have

$$\frac{n^+(\Lambda, (0, R)^2)}{R^2} \leq \mathcal{D}^+(\mathcal{L}) + \epsilon$$

for every  $\Lambda \in \mathcal{L}$  and sufficiently large  $R$ , and thus

$$D^+(\Lambda) = \limsup_{R \rightarrow \infty} \frac{n^+(\Lambda, (0, R)^2)}{R^2} \leq \mathcal{D}^+(\mathcal{L}) + \epsilon. \quad (1)$$

Now, as (1) holds for every  $\Lambda \in \mathcal{L}$ , we deduce that  $\sup_{\Lambda \in \mathcal{L}} D^+(\Lambda) \leq \mathcal{D}^+(\mathcal{L}) + \epsilon$ , and hence, as  $\epsilon > 0$  was arbitrary, we obtain  $\sup_{\Lambda \in \mathcal{L}} D^+(\Lambda) \leq \mathcal{D}^+(\mathcal{L})$ .  $\square$

*Proof of Lemma 9.* We identify  $\mathbb{C}$  with  $\mathbb{R}^2$  for ease of exposition. For a positive integer  $q$ , define the set  $\mathcal{S}_q$  according to

$$\mathcal{S}_q = \{[qkR, q(k+1)R] \times [q\ell R, q(\ell+1)R] : k, \ell \in \mathbb{Z}\},$$

and note that  $\mathcal{S}_q$  is a collection of squares in  $\mathbb{R}^2$  of side length  $qR$  tessellating the plane. A simple counting argument now yields, for all  $K \in \mathcal{S}_q$ ,

$$\#(\Omega_\gamma \cap K) \geq (qR\gamma^{-1})^2 - 4(qR\gamma^{-1} + 1),$$

where the subtracted term accounts for the  $\lceil qR\gamma^{-1} \rceil$  points adjacent to each of the edges of the square  $K$ , but which might not be inside it. On the other hand, as every element of  $\mathcal{S}_q$  can be covered by  $(q+2)^2$  translates of  $(0, R)^2$ , using  $n^+(\Lambda, (0, R)^2) \leq \theta R^2$  we have  $\#(\Lambda \cap K) \leq (q+2)^2 \cdot \theta R^2 =$

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$((q+2)R\theta^{1/2})^2$  for all  $K \in \mathcal{S}_q$ . Therefore, as  $\gamma^{-1} > \theta^{1/2}$  by assumption, there exists a positive integer  $q' = q'(\theta, R, \gamma)$  such that

$$(q'R\gamma^{-1})^2 - 4(q'R\gamma^{-1} + 1) \geq ((q'+2)R\theta^{1/2})^2.$$

We thus have  $\#(\Omega_\gamma \cap K) \geq \#(\Lambda \cap K)$ , for all  $K \in \mathcal{S}_{q'}$ , and can therefore enumerate  $\Lambda = \{\lambda_{m,n}\}_{(m,n) \in \mathcal{I}}$  so that, for every  $(m,n) \in \mathcal{I}$ ,  $\lambda_{m,n}$  and  $\omega_{m,n}$  are contained in the same square  $K_{m,n} \in \mathcal{S}_{q'}$ . Setting  $R' = \sqrt{2}q'R$  to be the length of the diagonal of the squares in  $\mathcal{S}_{q'}$  now yields  $|\lambda_{m,n} - \omega_{m,n}| \leq R'$ , for all  $(m,n) \in \mathcal{I}$ , as desired.  $\square$

*Proof of Lemma 10.* We again identify  $\mathbb{C}$  with  $\mathbb{R}^2$  for ease of exposition. Note that we have  $n^+(\Lambda, (0, R')^2) \leq n^+(\Omega_\gamma, (0, R' + 2R)^2)$ , for all  $R' > 0$  and  $\Lambda \in \mathcal{L}$ , by the uniform closeness assumption, and so

$$\mathcal{D}^+(\mathcal{L}) = \limsup_{R' \rightarrow \infty} \sup_{\Lambda \in \mathcal{L}} \frac{n^+(\Lambda, (0, R')^2)}{R'^2} \leq \limsup_{R' \rightarrow \infty} \frac{n^+(\Omega_\gamma, (0, R' + 2R)^2)}{(R' + 2R)^2} \left(1 + \frac{2R}{R'}\right)^2 = \gamma^{-2}.$$

$\square$

*Proof of Lemma 14.* (i) Suppose that  $\mathcal{A}$  is bounded below. Then the operator  $\tilde{\mathcal{A}} : X \rightarrow \text{Im}(\mathcal{A})$  given by  $\tilde{\mathcal{A}}(x) = \mathcal{A}(x)$ , for  $x \in X$ , is a continuous map between Banach spaces, and has a continuous inverse. In other words,  $\tilde{\mathcal{A}}$  is an isomorphism between Banach spaces. Thus  $\tilde{\mathcal{A}}^* : (\text{Im}(\mathcal{A}))^* \rightarrow X^*$  is also an isomorphism between Banach spaces, and so, by the inverse mapping theorem [?, Cor. 2.12], so is  $(\tilde{\mathcal{A}}^*)^{-1} : X^* \rightarrow (\text{Im}(\mathcal{A}))^*$ . Consider now an arbitrary  $f \in X^*$ , and set  $h = (\tilde{\mathcal{A}}^*)^{-1}f$ . As  $h$  is a continuous linear functional on  $\text{Im}(\mathcal{A}) \subset Y$ , it follows by the Hahn-Banach theorem [?, Thm. 3.6] that  $h$  can be extended to a continuous linear functional  $h_Y$  defined on  $Y$ . Now, since  $h_Y|_{\text{Im}(\mathcal{A})} = h$ , we have

$$\begin{aligned} \langle \mathcal{A}^*h_Y, x \rangle &= \langle h_Y, \underbrace{\mathcal{A}x}_{\in \text{Im}(\mathcal{A})} \rangle = \langle h, \mathcal{A}x \rangle = \langle h, \tilde{\mathcal{A}}x \rangle = \\ &= \langle \tilde{\mathcal{A}}^*h, x \rangle = \langle f, x \rangle \quad \text{for } x \in X, \end{aligned}$$

and thus, as  $x \in X$  was arbitrary, we deduce that  $\mathcal{A}^*h_Y = f$ . Finally, since  $f$  was arbitrary, we have that  $\mathcal{A}^*$  is surjective.

(ii) Let  $f$  be an arbitrary element of  $X^*$  with  $\|f\| = 1$ , and let  $g \in Y^*$  be such that  $\mathcal{A}^*g = f$  and  $a\|g\| \leq 1$ . Note that then  $g \neq 0$ , and so  $g/\|g\|$  is a well-defined element of  $Y^*$  of unit norm. Therefore,

$$\|\mathcal{A}x\| \geq \left| \left\langle \mathcal{A}x, \frac{g}{\|g\|} \right\rangle \right| = \|g\|^{-1} |\langle x, \mathcal{A}^*g \rangle| \geq a |\langle x, f \rangle|,$$

for all  $x \in X$ . Taking the supremum of the right-hand side over  $f \in X^*$  and using the fact that  $\sup_{f \in X^*, \|f\|=1} |\langle x, f \rangle| = \|x\|$  yields  $\|\mathcal{A}x\| \geq a\|x\|$ , as desired.  $\square$